

Security Shortage handling Policy

Segment	Nature of Shortage	Policy
Capital Market / Derivative Market (Physical Delivery)	Market Shortage	Clearing Corporation rates will be applicable
	Internal Shortage	<p>Internal Shortage will be handled as per self-auction procedure of Clearing Corporation i.e. it will convert in Market Auction.</p> <p>Seller: - Market auction rate will be applicable Buyer: - Client will get the share on T+2 Day (Auction Payout Day) on successful auction has been happened in market by Clearing Corporation.</p> <p>If due to any reason, Shares not traded in Auction market or Self Auction not happened then Client will be debited / credited as per the Clearing Corporation Policy</p>

Note: -

- No internal adjustment can be done after self-auction file uploaded (internal shortage)
- For 'Trade for Trade' Scrip, Exchange Closeout rate will be applicable.
- Additional 1 % clearing corporation facilitation fees will be levied on auction price. This additional rate is subject to change from time to time as per clearing corporation.
- There will be no claim, and no settlement will be done at Broker level in the above policy.
- Broker has reserved all Rights to change in above policy at any time.
- Taxes will be applicable as per the norms