

Anand Rathi Share and Stock Brokers Limited.

Data For the month ending- APRIL 2022

Sr. No.	Received from	Carried forward from previous month	Received during the month	Resolved*	Total Pending#	Pending at the end of the month**		Average Resolution time(in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from investors	9	80	74	15	Nil	NIL	15days
2	SEBI SCORES	NIL	NIL	NIL	NIL	NIL	NIL	30days
3	STOCK EXCHNAGES	NIL	7	4	3	NIL	NIL	30days
4	Other sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
5	GRAND Total	9	87	78	18	NIL	NIL	NIL

Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

Sr. no.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	2	3	4	5	6
2	APRIL 2021	14	77	73	18
3	MAY 2021	18	107	99	26

4	JUNE 2021	26	98	106	18
5	JULY 2021	18	106	110	14
6	AUGUST 2021	14	64	72	6
7	SEPTEMBER 2021	6	75	78	3
8	OCTOBER 2021	3	68	57	14
9	NOVEMBER 2021	14	79	81	12
10	DECEMBER 2021	12	106	100	18
11	JANUARY 2022	18	127	116	29
12	FEBRURAY 2022	29	64	75	18
13	MARCH 2022	18	95	104	9
14	APRIL 2022	9	80	74	15
	GRAND TOTAL	199	1146	1145	200

*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received	Resolved**	Pending##
1	2018-19	2	492	483	11
2	2019-20	11	652	580	83
3	2020-21	83	1113	1182	14
4	2021-22	14	1066	1071	9
5	2022-23	9	80	74	15
	Grand Total	119	3403	3390	132

**Inclusive of complaints of previous year resolved in the current year.

##Inclusive of complaints pending as on the last day of the year.